

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought

on the invention entitled

Method for Producing and Identifying New Hydrolases Having Improved Properties

the specification of which is attached hereto,

or was filed on

as Application Serial No.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s), the priority(ies) of which is/are to be claimed:

197 31 990.4
(Number)

Germany
(Country)

July 25, 1997
(Month/Day/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose the material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

PCT/EP98/04612

July 23, 1998

pending

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named Inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Kurt G. Briscoe, Reg. No. 33,141; William C. Gerstenzang, Reg. 27,552; Carmella A. O'Gorman, Reg. No. 33,769; Stephen G. Ryan, Reg. No. 39,015 and Lorimer P. Brooks, all of 660 White Plains Road, Tarrytown, New York 10591, William R. Robinson, Reg. No. 27,224, Davy E. Zoneraich, Reg. No. 37,267 and Mark A. Montana, Reg. 44,948, all of 721 Route 202-206, Bridgewater, New Jersey 08807 my attorneys with full power of substitution and revocation

Send Correspondence To: <u>NORRIS, McLAUGHLIN & MARCUS</u> <u>660 White Plains Road, 4th Fl.</u> <u>Tarrytown, New York 10591-51444</u>	Direct Telephone Calls To: (914) 332-1700
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1-00	Full Name Of Sole or First Inventor <u>Manfred T. Reetz</u>	Inventor's Signature <u>Manfred T. Reetz</u>	Date <u>19.01.2000</u>
	Residence <u>45470 Mülheim an der Ruhr, Germany DEX</u>	Citizenship <u>German</u>	
	Post Office Address <u>Max-Planck-Institut für Kohlenforschung, Kaiser-Wilhelm-Platz 1, 45470 Mülheim an der Ruhr, Germany</u>		
2-00	Full Name Of Second Inventor <u>Albin Zonta</u>	Inventor's Signature <u>Albin Zonta</u>	Date <u>18.1.2000</u>
	Residence <u>45219 Essen</u>	Citizenship <u>German</u>	
	Post Office Address <u>Max-Planck-Institut für Kohlenforschung, Kaiser-Wilhelm-Platz 1, 45470 Mülheim an der Ruhr, Germany DEX</u>		
3-00	Full Name Of Third Inventor <u>Klaus Schimossek</u>	Inventor's Signature <u>Klaus Schimossek</u>	Date <u>16.01.2000</u>
	Residence <u>63526 Erlensee</u>	Citizenship <u>German</u>	
	Post Office Address <u>Max-Planck-Institut für Kohlenforschung, Kaiser-Wilhelm-Platz 1, 45470 Mülheim an der Ruhr, Germany DEX</u>		
4-00	Full Name Of Fourth Inventor <u>Klaus Liebeton</u>	Inventor's Signature <u>Klaus Liebeton</u>	Date <u>19.01.2000</u>
	Residence <u>58313 Herdecke, Germany DEX</u>	Citizenship <u>German</u>	
	Post Office Address <u>Max-Planck-Institut für Kohlenforschung, Kaiser-Wilhelm-Platz 1, 45470 Mülheim an der Ruhr, Germany</u>		
5-00	Full Name Of Fifth Inventor <u>Karl-Erich Jäger</u>	Inventor's Signature <u>Karl-Erich Jäger</u>	Date <u>20.01.2000</u>
	Residence <u>45479 Mülheim an der Ruhr, Germany DEX</u>	Citizenship <u>German</u>	
	Post Office Address <u>Ruhr Universität Bochum, Fakultät f. Biologie, Universitätsstrasse 150, 44790 Bochum, Germany</u>		
	Full Name Of Sixth Inventor	Inventor's Signature	Date
	Residence	Citizenship	
	Post Office Address		
	Full Name Of Seventh Inventor	Inventor's Signature	Date
	Residence	Citizenship	
	Post Office Address		

Studiengesellschaft

Applicant or Patentee: Kohle mbH et al Attorney KGB

Serial or Patent No. : _____ Docket No.: _____

Filed or Issued: _____

For: Method for Producing and Identifying New Hydrolases Having Improved Properties

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(d) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION Studiengesellschaft Kohle mbH

ADDRESS OF ORGANIZATION Kaiser-Wilhelm-Platz 1,
45470 Mülheim an der Ruhr, DE

TYPE OF ORGANIZATION Trustee for the Max-Planck-Institut für Kohlenforschung,
Kaiser-Wilhelm-Platz 1, 45470 Mülheim an der Ruhr, DE

☐ University or other institution of higher education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled _____ by inventor(s) described in _____

- ☒ the specification filed herewith
☐ application Serial No. _____, filed _____
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Prof. Dr. E. Ziegler
NAME OF PERSON SIGNING

NAME OF PERSON SIGNING

Studiengesellschaft Kohle mbH
TITLE OF ORGANIZATION
Kaiser-Wilhelm-Platz 1
45470 Mülheim an der Ruhr

TITLE OF ORGANIZATION

ADDRESS OF PERSON SIGNING

ADDRESS OF PERSON SIGNING

E. Ziegler
SIGNATURE

SIGNATURE

January 18, 2000
Date

Date